

**COVERDELL EDUCATION SAVINGS ACCOUNT (CESA) TRANSFER OF ASSETS FORM**



**DOMINI SOCIAL INVESTMENTS**  
P.O. Box 9785  
Providence, RI 02940-9785

**Instructions for completing this form are provided below.**

**RESPONSIBLE INDIVIDUAL** (The parent or guardian of the Designated Beneficiary)

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Mailing Address (If different from street address): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

**DESIGNATED BENEFICIARY** ( Must be under age 18, unless a special needs Designated Beneficiary)

Name: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

**INVESTMENT INSTRUCTIONS\***

I am opening a new account(s) and have attached the required Coverdell ESA application

Deposit the proceeds into an existing Coverdell ESA. Account Number \_\_\_\_\_

Please purchase into the following funds\*:

<u>Domini Social Equity Fund (0473) Investor Share Class</u>	<u>Dollar Amount \$</u>	or	<u>Percentage</u>	<u>%</u>
<u>Domini International Social Equity Fund (0430) Investor Share Class</u>	<u>Dollar Amount \$</u>	or	<u>Percentage</u>	<u>%</u>
<u>Domini Social Bond Fund (0501) Investor Share Class</u>	<u>Dollar Amount \$</u>	or	<u>Percentage</u>	<u>%</u>
<u>Domini Deposit Account at PNC Bank*</u>	<u>Dollar Amount \$</u>	or	<u>Percentage</u>	<u>%</u>

\*Domini Funds will assess a \$3.00 monthly service charge for all accounts invested within the Domini Deposit Account at PNC Bank account positions, subject to modification or waiver at Domini’s discretion.. This charge will be automatically withdrawn from your account on or about the 15th of each month (or the next applicable business day).

**INSTRUCTIONS FOR THE RESPONSIBLE INDIVIDUAL (PLEASE READ CAREFULLY):**

This form will be used to initiate a transfer of assets from an existing Coverdell ESA as designated on this form to a Coverdell ESA plan at Domini Funds. For certificate of deposits please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of the current account statement, and, if applicable, the appropriate new account application for the Coverdell ESA to:

**First Class Mail:**

Domini Funds  
P.O. Box 9785  
Providence, RI 02940-9785

**Overnight Mail:**

Domini Funds  
4400 Computer Drive  
Westborough, MA 01581  
1-800-582-6757

Insufficient information or incorrect forms will result in delays in processing your instructions. If you need assistance in completing this form please contact our Shareholder Information line at 1-800-582-6757, Monday through Friday, 9 am to 5 pm, Eastern time. Please consult a tax advisor for tax advice.

**TRANSFER AND AUTHORIZATION INSTRUCTIONS TO CURRENT CUSTODIAN**

Check with your current custodian for the correct address and if they require a signature guarantee to avoid delays. Attach a copy of the current account statement.

Name of current custodian: \_\_\_\_\_

Address of current custodian: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Contact name: \_\_\_\_\_ Telephone number: ( \_\_\_\_\_ ) \_\_\_\_\_

Investment(s) To Be Transferred:

1) Account Number and Investment Name: \_\_\_\_\_

- Liquidate Entire Account       Partial Dollar Amount \$ \_\_\_\_\_ or # of Shares \_\_\_\_\_       Transfer In-Kind  
Applies only to Domini mutual fund holdings
- For Certificate of Deposit redeem:       Immediately\*       At Maturity      Date \_\_\_\_\_

2) Account Number and Investment Name: \_\_\_\_\_

- Liquidate Entire Account       Partial Dollar Amount \$ \_\_\_\_\_ or # of Shares \_\_\_\_\_       Transfer In-Kind  
Applies only to Domini mutual fund holdings
- For Certificate of Deposit redeem:       Immediately\*       At Maturity      Date \_\_\_\_\_

**\*Note:** if you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to convert certificates of deposit more than 60 days before their maturity.

**HOW DID YOU HEAR ABOUT US?**

- TV advertisement
- Print ad in \_\_\_\_\_
- News story in \_\_\_\_\_
- Facebook
- Twitter
- Morningstar
- Lipper
- Internet search
- Friend/Relative
- Conference
- Financial Advisor/Broker
- Other \_\_\_\_\_

**AUTHORIZATION**

I authorize the current custodian to process the transfer of assets as noted. I understand as the Responsible Individual it is my responsibility to assure the prompt transfer of assets by the current custodian or agent. I have read and understand all information in the instructions.

Signature of Responsible Individual: \_\_\_\_\_ Date: \_\_\_\_\_

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.