



DOMINI IMPACT INVESTMENTS

ROTH IRA CONVERSION FORM (EXTERNAL)

IMPORTANT CHANGES TO ROTH CONVERSIONS EFFECTIVE JANUARY 1, 2018

The Internal Revenue Service ("IRS") Announces an Important Change - Effective January 1, 2018, a Roth IRA conversion cannot be recharacterized back to a traditional IRA, SEP or SIMPLE IRA. A Roth IRA conversion is considered an irrevocable election which cannot be "reversed" or "corrected". For more information, please visit the IRS web site www.irs.gov using the search term "IRA FAQs – Recharacterization of Roth Rollovers and Conversions".

IMPORTANT CHANGES TO ROTH CONVERSIONS EFFECTIVE JANUARY 1, 2010

Beginning in 2010, there will be no eligibility requirements for converting a traditional, SEP or SIMPLE (after the required two-year holding period) IRA into a Roth IRA under the Tax Increase Prevention and Reconciliation Act of 2006 ("TIPRA"). You should consult your tax advisor or the Internal Revenue Service (IRS) website www.irs.gov regarding special taxation rules that will apply to conversions occurring in 2010.

EMPLOYER-SPONSORED PLAN CONVERSIONS TO ROTH IRA

Beginning in 2010, individuals are permitted to roll over amounts from employer-sponsored plans, such as qualified plans and 403(b) plans, and convert them to a Roth IRA under The Worker, Retiree, and Employer Recovery Act of 2008 ("WRERA") regardless of their modified adjusted gross income or tax-filing status. Refer to Internal Revenue Service (IRS) Publication 590 for more information. You must contact your employer's plan administrator to initiate the direct rollover conversion. Do not use these forms to request the employer plan direct rollover conversion.

DOMINI IMPACT INVESTMENTS ROTH IRA CONVERSION FORM (EXTERNAL)

This form is for converting a Domini Traditional, SEP or SIMPLE IRA (after the required two year holding period) from another custodian or trustee to a Domini Roth IRA. If establishing a new Domini Roth IRA, attach a completed Roth IRA Application. Do not use this form to recharacterize a prior conversion or IRA contribution. Please consult a professional advisor for tax, legal and investment advice. If you have any questions, please call our Shareholder Information line at 1-800-582-6757, M – F, 9 am to 6 pm Eastern Time.

PARTICIPANT INFORMATION Name: _____

Daytime Telephone: (_____) _____

Address: _____

City: _____ State: _____ Zip Code: _____

Account Number _____ Date of Birth: _____
 Current Roth IRA Account Number _____ or New Roth IRA Application attached

IMPORTANT CHANGES TO ROTH CONVERSIONS EFFECTIVE JANUARY 1, 2018

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INSTRUCTIONS FOR CONVERSION PROCEEDS

- Follow the investment instructions as provided on the attached Application (if establishing new Domini Roth IRA), or
- Invest the proceeds as follows in my existing Domini Roth IRA: \$1,500 initial minimum for each new position
- | | | | | |
|---|---------|----------|----|-----------------------------------|
| 1) Domini Sustainable Solutions Fund (450) Investor Share Class | Amount: | \$ _____ | or | % |
| 2) Domini Impact Equity Fund (473) Investor Share Class | Amount: | \$ _____ | or | % |
| 3) Domini International Impact Equity Fund (430) Investor Share Class | Amount: | \$ _____ | or | % |
| 4) Domini Impact Bond Fund (501) Investor Share Class | Amount: | \$ _____ | or | % |
| 5) Domini Deposit Account at PNC Bank (675)* | Amount: | \$ _____ | or | % |
| | | | | Percentage _____% Must equal 100% |

*Domini will generally assess a \$3 monthly service charge for this Account position, subject to modification or waiver at Domini’s discretion. This charge will automatically be withdrawn from your account on or about the 15th of each month (or the next applicable business day).

TAX WITHHOLDING

IRS regulations require the custodian or trustee of your IRA to withhold federal income taxes from the conversion distribution at the rate of 10% unless you elect not to have withholding apply. Withholding will apply to the entire amount of the conversion distribution, including the amount of any nondeductible contributions that may have been made to the IRA. You may not convert any portion of required minimum distributions (RMDs). You may still invest the entire amount of the conversion distribution into your Domini Roth IRA by using other assets to replace previous amounts withheld as a prepayment of federal income taxes. Remember, if you use assets of your IRA to pay taxes on the conversion distribution amount (either by liquidating additional shares or by not replacing amounts withheld for federal income tax), the IRA assets used to pay those taxes will be considered a premature distribution (if you are under the age of 59 ½) since they are not being converted into the Roth IRA or rolled over into another IRA and you could also be subject to a 10% early withdrawal penalty.

- I elect not to have federal income tax withheld from this conversion distribution; or
- I elect to have 10% federal income tax withheld from this conversion distribution; or
- I elect to have _____ % federal income tax withheld from this conversion distribution. (must be more than 10%)

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

To avoid delays, contact your current custodian to verify their correct address and ask if they require a Medallion Signature Guarantee. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee. Please also attach your most recent statement, if possible.

Current custodian: _____ Telephone number: (_____) _____

Address: _____

City: _____ State: _____ Zip Code: _____

Account number: _____

***Note:** if you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We

1) Investment to be transferred:

Distributing Account Type: Traditional/Rollover IRA SEP IRA SIMPLE IRA – (after 2 years)
Amount to be Distributed: Liquidate Entire Account Partial \$ _____ or # of shares _____
For Certificates of Deposit: Immediately* At Maturity Date _____

2) Investment to be transferred:

Account number: _____

Distributing Account Type: Traditional/Rollover IRA SEP IRA SIMPLE IRA – (after 2 years)
Amount to be Distributed: Liquidate Entire Account Partial \$ _____ or shares _____
For Certificates of Deposit: Immediately* At Maturity Date _____

cannot accept requests to convert certificates of deposit more than 60 days before their maturity.

HOW DID YOU HEAR ABOUT US?

TV advertisement Print ad in _____ News story in _____
 Facebook Twitter Morningstar Lipper Internet search Friend/Relative
 Conference Financial Advisor/Broker Other _____

PARTICIPANT AUTHORIZATION

I authorize the current custodian or trustee of my IRA to distribute the amount(s) indicated for the purpose of converting them to a Domini Roth IRA and to issue a check as indicated below. I understand it is my responsibility to insure the prompt conversion of assets by the current custodian. I understand that I am solely responsible for all tax consequences and agree that neither Domini Impact Investments, the IRA custodian, nor any agent or affiliate or either of them shall have responsibility for any tax consequences resulting from my instructions. I authorize Domini and Ultimus Fund Solutions, LLC to process this request on my behalf.

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the IRA custodian, Domini Impact Investments, and their agents and affiliates will rely on my instructions within this form when accepting my conversion contribution. I understand this conversion is irrevocable.

Participant's Signature: _____ **Date:** _____

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee

INTERNAL USE ONLY – RESIGNING CUSTODIAN INSTRUCTIONS

Issue check payable to: Domini Funds as custodian for Domini Funds Roth IRA.

FBO: _____

Account No: _____

Mail to the following:

First Class Mail:

Domini Funds
P.O. Box 46707
Cincinnati, OH 45246 - 0707

Overnight Mail:

Domini Funds
225 Pictoria Drive, Suite 450
Cincinnati, OH 45246

If you have any questions, please call our Shareholder Information line at 1-800-582-6757, M – F, 9 am to 6 pm Eastern Time.